

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14872

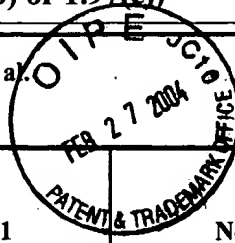
In Re Application Of: Toshimichi Kurihara, et al.

Serial No.
09/942,445

Filing Date
August 30, 2001

Examiner
Nema O. Berezny

Group Art Unit
2813



Title: **SEMICONDUCTOR DEVICE IN A RESIN SEALED PACKAGE WITH A RADIATING
PLATE AND MANUFACTURING METHOD THEREOF**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☒ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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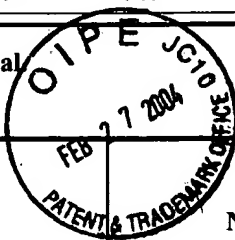
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**SEMICONDUCTOR DEVICE IN A RESIN SEALED PACKAGE WITH A RADIATING
PLATE AND MANUFACTURING METHOD THEREOF**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

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Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **February 24, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Aasheesh V. Shravah

Typed or Printed Name

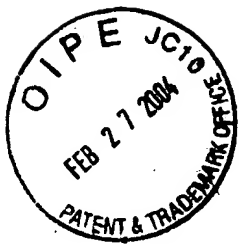
***This certificate may only be used if paying by deposit account.**

Signature

Dated: **February 24, 2004**

Aasheesh V. Shravah
Registration No. 54,445
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AVS:jap

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Toshimichi Kurihara, et al.

Examiner: Nema O. Berezny

Serial No.: 09/942,445

Art Unit: 2813

Filed: August 30, 2001

Docket: 14872

For: SEMICONDUCTOR DEVICE IN A RESIN
SEALED PACKAGE WITH A RADIATING
PLATE AND MANUFACTURING METHOD
THEREOF

Dated: February 24, 2004

Conf. No.: 7920

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

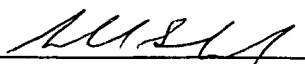
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. United States Patent No. 4,649,460, issued to Marchisi et al., dated March 10, 1987;
2. United States Patent No. 3,767,839, issued to Beal, dated October 23, 1973;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 24, 2004.

Dated: February 24, 2004


Aasheesh V. Shravah

3. United States Patent No. 5,757,075, issued to Kitaoka, dated May 26, 1998;
4. United States Patent No. 4,510,677, issued to Collumeau, dated April 16, 1985;
5. Japanese Laid-Open Patent Publication No. 6-21303, dated January 28, 1994, together with English-language Abstract;
6. Japanese Laid-Open Patent Publication No. 2000-150720, dated May 30, 2000, together with English-language Abstract; and
7. G.B. 1 318 821, published May 31, 1973.

The references were cited in a Search Report received from the European Patent Office, dated February 2, 2004. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c), the statement is enclosed.

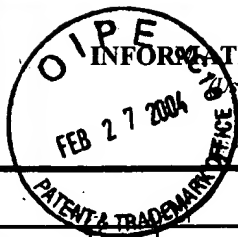
Respectfully submitted,



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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

14872

Application Number

09/942,445

Applicant(s)

Toshimichi Kurihara, et al.

Filing Date

August 30, 2001

Group Art Unit

2813

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,649,460	3/10/1987	Marchisi et al.			
		3,767,839	10/23/1973	Beal			
		5,757,075	5/26/1998	Kitaoka			
		4,510,677	4/16/1985	Collumeau			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		6-21303	1/28/1994	Japan				
		2000-150720	5/30/2000	Japan				
		1 318 821	5/31/1973	Great Britain				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.